

FORM PTO-1449 (Modified)	Attorney Docket No.: 18563-001110	Application No.: 09/454,278
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant: LOC X. PHAN et al.	
	Filing Date: December 3, 1999	Group: 3732

Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<u>    </u> A	3,407,500	10/1968	Kesling	433	6	
<u>    </u> B	3,950,851	04/1976	Bergersen	—	—	
<u>    </u> C	4,526,540	07/1985	Dellinger	433	6	
<u>    </u> D	4,591,341	05/1986	Andrews	433	6	
<u>    </u> E	4,755,139	07/1988	Abbate et al.	433	6	
<u>    </u> F	4,793,803	12/1988	Martz	433	6	
<u>    </u> G	4,798,534	01/1989	Breads	433	6	
<u>    </u> H	4,856,991	08/1989	Breads et al.	433	6	
<u>    </u> I	4,983,334	01/1991	Adell	433	6	
<u>    </u> J	5,035,613	07/1991	Breads et al.	433	6	
<u>    </u> K	5,055,039	10/1991	Abbate et al.	433	6	
<u>    </u> L	5,059,118	10/1991	Breads et al.	433	6	
<u>    </u> M	5,145,364	09/1992	Martz et al.	433	6	
<u>    </u> N	5,186,623	02/1993	Breads et al.	433	6	
<u>    </u> O	5,645,421	07/01997	Slootsky	433	6	
<u>    </u> P	5,882,192	03/1999	Bergersen	433	2	

FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
<u>    </u> Q	WO 98/58596	12/30/98	PCT	—	—	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
<u>    </u> R	
<u>    </u> S	Kesling et al., "The philosophy of the tooth positioning appliance" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 31:297-304.
<u>    </u> T	Kesling et al., "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 32:285-293.
<u>    </u> U	Warunek et al., "Clinical use of silicone elastomer appliances" <i>Journal of Clinical Orthodontics</i> (1989) 23:694-700.
<u>    </u> V	Product Brochure, Raintree Essix™, Inc. New Orleans, Louisiana 70125, 7 pages total from <a href="http://www.essix.com/magazine/default.html">http://www.essix.com/magazine/default.html</a> .
<u>    </u> W	Product Brochure, Tru-Tain Orthodontic & Dental Supplies, Rochester, Minnesota 55902, 16 pages total.
<u>    </u> X	Kleemann et al., "The speed positioner" <i>Journal of Clinical Orthodontics</i> (1996) 30:673-680.
<u>    </u> Y	Cureton, "Correcting malaligned mandibular incisors with removable retainers" <i>Journal of Clinical Orthodontics</i> (1996) 30:390-395.
<u>    </u> Z	Chippone, "Constructing the gnathologic setup and positioner" <i>Journal of Clinical Orthodontics</i> (1980) 14:121-133.
<u>    </u> AA	Shilliday, "Minimizing finishing problems with the mini-positioner" <i>American Journal of Orthodontics</i> (1971) 59:596-599.

